

COMPLIANCE MANAGEMENT

ABOUT US

Finarya is a smart technology platform for end-to-end Integrated Governance, Risk and Compliance. Over the last few years, industries across the board are witnessing fast paced and wide-spread digitization, heightened focus around remote working, stricter regulatory environment and rapidly growing size and complexity of global financial system. These issues underscore the significance of strengthening governance standards around Operational Risk, Compliance Risk, Financial Reporting Risk, Reputation Risk & Governance.

Our product, “Ethical” is designed with a mission to enhance the level of governance and empower organizations to promote transparency in managing the stakeholders for ushering an era to Reimagine Governance.

COMPLIANCE RISK MANAGEMENT

Compliance Management Module will help analyze regulatory risk, perform the compliance testing at periodic intervals, monitoring key compliance indicators, manage reporting of regulatory observations within the organization and obtain responses from the respective stakeholders. Our software has compliance management module capabilities that facilitate organisations manage the end-to-end compliance journey which includes Regulation Management, Regulatory Issue Management, Branch Compliance, Regulatory & Compliance Management.

KEY FEATURES

- Integrated GRC Platform
- Enhanced UI/UX experience and ease of use
- Document Regulatory Obligations and track periodic compliance submission
- Manage & Track submission of Regulatory Returns
- Manage lifecycle of regulatory observations
- Track periodic submission of Branch Operations Compliance
- Indent Manager to track and manage regulatory requirements
- Robust platform for tracking Risk Control Matrices (RCMs)
- Functionality to plan and schedule periodic compliance testing
- Efficient workflows to manage the interaction between assessed and compliance manager.
- Notification & escalation functionality to track timely compliance with regulation.
- Quality dashboarding capability to manage reporting across the organization.

BENEFITS

- Centralized repository of regulations for managing the compliance program
- Enables business and operations to manage regulatory expectations.
- Strong compliance library
- Ease of use with enhanced UI/UX
- Strong usage of tech to create better visualization for decision making.